



## **City of San Antonio Ethics Review Board Annual Report – 2014**



City Ordinance 88874, approved on November 19, 1998, created the Ethics Review Board (“ERB” or “Board”), which met for the first time on April 30, 1999. A City Charter election held in May 2004, and the adoption of a City Campaign Finance Regulation ordinance in August 2004, necessitated the modification of the responsibilities and jurisdiction of the ERB. A new ethics ordinance (City Ordinance 100283) was adopted by City Council on January 13, 2005. The Charter amendment formally dissolved the board created by the 1998 ordinance and established an independent board with the ability to deliver binding rulings and impose sanctions and fines. The initial members of this new board were appointed in April 2005. On May 9, 2013, City Council unanimously approved significant changes to the City’s Ethics Code and Municipal Campaign Finance Code (City Ordinance 2013-05-09-0317).

In 2014, the Board met as a whole body twice (January 7, 2014 and November 17, 2014) and as a panel twice (February 11, 2014 and March 7, 2014). In the aforementioned meetings, two complaints were considered resulting in three Determinations rendered by the Board (See Attachment A). Six complaints were received that were not forwarded to the Board (See Attachment A-1). The City Attorney’s Office rendered two formal Advisory Opinions, and the ERB none (See Attachment B). The complete text of each Determination and/or Opinion can be obtained from the Office of the City Clerk. The extracts provided in the attachments to this document are for information purposes only.

The Board is charged with submitting recommended changes to the Ethics Code and Municipal Campaign Finance Code. Due to the comprehensive changes promulgated in City Ordinance 2013-05-09-0317, this report includes no proposed changes. We will continue to review both codes and future proposed changes will be included in future Annual Reports.

A list of registered lobbyists – current as of November, 2014 – has been provided by the City Clerk (see Attachment C).

Ethics/Campaign Finance Training in 2014: the Office of the City Clerk, the Office of the City Attorney, and the Compliance Auditor present training sessions on the City’s Ethics Code, the Municipal Campaign Finance Regulations, and City’s Election Campaign Finance filing system. In March of 2006, the San Antonio City Manager adopted a program of mandatory ethics training for all City employees. As part of this program, refresher training is performed biannually. The Compliance Auditor also conducts ethics training at every New Employee Experience I training session. Additionally, other workshops and presentations on Ethics were conducted by the City Attorney’s Office and Compliance Auditor in 2014 (See Attachment D).

## The Ethics Review Board Members – 2014

The following persons were confirmed by the City Council to serve on the Ethics Review Board for the terms indicated.

<u>NAME</u>	<u>APPOINTED BY</u>	<u>DATE APPOINTED</u>	<u>DATE TERM EXPIRES</u>
Marisa Bono <sup>1</sup>	Mayor	Mar. 7. 2013	Apr. 25, 2016
David A. Armendariz	District 1	Dec. 13, 2012	Apr. 25, 2015
Dolores Lott <sup>2</sup>	District 2	Apr. 14, 2005	Apr. 25, 2011
Ruben J. DeLeon	District 3	Dec. 19, 2013	Apr. 25, 2016
August Steven Johnson <sup>3*</sup>	District 4	Apr. 14, 2005	Apr. 25, 2012
Magdalena Alvarado	District 5	Oct. 9, 2014	Apr. 25, 2015
Linda M. Jackson	District 6	Nov. 21. 2013	Apr. 25, 2016
Burke C. Marold	District 7	Mar. 7. 2013	Apr. 25, 2016
Charles Saxer <sup>4</sup>	District 8	Dec. 4, 2008	Apr. 25, 2013
Sam Millsap <sup>5</sup>	District 9	Jan. 9, 2014	Apr. 25, 2015
Robert W. Piatt <sup>6*</sup>	District 10	Mar. 1, 2012	Apr. 25, 2014
Donald H. Flanary	District 10	Sept. 11, 2014	Apr. 25, 2015

\* Resigned during 2014

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<sup>1</sup> Chair for portion of 2014

<sup>2</sup> Member in Holdover

<sup>3</sup> Member in Holdover

<sup>4</sup> Member in Holdover

<sup>5</sup> Vice-Chair for portion of 2014

<sup>6</sup> Chair for portion of 2014. Member was in Holdover

**Attachment A**

**Ethics Determinations – 2014**

Number	Date	Complaint & Opinion
Opinion 14-01	February 11, 2014	<p>On February 11, 2014, a panel of the Ethics Review Board convened to consider the ethics complaint filed against several employees of the San Antonio Police Department (SAPD). SAPD employee Frances Barrera filed the complaint on May 15, 2013, alleging that several employees within the SAPD were in the line of supervision of relatives, used their position to unfairly advance the interests of family members, and were directly involved in the hiring of relatives, in violation of Section 2-44 of the City of San Antonio Ethics Code. The complaint also alleged that several employees within the SAPD misappropriated City resources in violation of Section 2-49 of the Ethics Code. The complaint had been deferred on June 11, 2013, by a panel of the Board, pending investigation by the SAPD, Human Resources Department, and the City’s Office of Municipal Integrity. The Panel received a briefing from staff regarding the final reports of the investigations conducted by the City regarding these allegations. The Panel unanimously voted to <b>DISMISS</b> the complaints in full due to 1) a lack of evidence sufficient to substantiate a finding of a violation of the Ethics Code as it pertains to nepotism, and 2) resolution of the actions giving rise to the allegations pertaining to misappropriation of City resources.</p>
Opinion 14-02	February 11, 2014	<p>On February 11, 2014, a panel of the Ethics Review Board convened to consider the ethics complaint filed against an employee of the San Antonio Municipal Airport HVAC Department. Bruce White filed the complaint on June 28, 2013, alleging that the employee used his position to advance unfairly the interests of a tenant at the Airport, in violation of Section 2-44 of the City of San Antonio Ethics Code. The complaint had been deferred on July 10, 2013, by a panel of the Board, pending investigation by the Human Resources Department and the City’s Office of Municipal Integrity. The Panel received a briefing from staff regarding the final reports of the investigations conducted by the City regarding these allegations. After considering the complaint and the results of the investigations, the Panel unanimously voted to <b>DISMISS</b> the complaint in full due to 1) a lack of evidence sufficient to substantiate a finding of a violation of the Ethics Code, and 2) resolution of the actions giving rise to the allegations in the complaint.</p>
Opinion 14-03	March 7, 2014	<p>On March 7, 2014, a panel of the Ethics Review Board convened at Frances Barrera’s request to reconsider the dismissal of the ethics complaint filed against several employees of the SAPD. See Opinion 14-01. Upon review of Ms. Barrera’s written request for reconsideration, the Panel determined that no additional evidence substantiating the complaint had been provided by the complainant. Therefore, the Panel upheld its decision rendered in Opinion 14-01, and the complaint filed May 15, 2013 remained <b>DISMISSED</b>.</p>

**Attachment A-1**

<b>Complaints Filed – 2014</b>		
Status	Date Filed	Summary
Rejected	March 7, 2014	<i>The complaint alleged that misconduct on the part of an SAPD detective violated the complainant's rights under the U.S. Constitution. These allegations did not constitute a violation of the Ethics Code or any other law administered or enforced by the ERB.</i>
Rejected	April 8, 2014	<i>The complaint alleged that by voting to approve an increase in the rates charged by the San Antonio Water System on November 21, 2013, a Councilmember violated §2-43 of the Ethics Code. An investigation revealed the following: 1) that the Councilmember no longer served as President of the relevant Water Supply Corporation and received no compensation from the Water Supply Corporation; and 2) that both SAWS and the Water Supply Corporation had certificates of convenience and necessity issued by the state, and neither may have encroached upon the other's authorized distribution areas without consent of the other or of the state. Thus, the vote to raise SAWS' rates did not provide a competitive advantage to the Water Supply Corporation, and the Councilmember's vote could not have likely affected the economic interest of the parties identified in Section 2-43(a) of the Ethics Code.</i>
Rejected	June 24, 2014	<i>The complaint alleged that employees of the Development Services Department and City Attorney's Office violated Sections 2-41, 2-44(a), and 2-72 by way of their noncompliance with sections of the San Antonio Unified Development Code and Texas Local Government Code. In essence, the complaint alleged procedural defects in the plat approval process. Thus, the issues raised in the complaint were not within the purview of the ERB.</i>
Rejected	June 19, 2014	<i>The complaint alleged that a Councilmember violated Section 2-43(a)(6) of the City's Ethics Code by instructing the Zoning Commission to approve a request for rezoning. Section 2-43(a)(6) of the Ethics Code prohibits a City official from taking any official action that he or she knows is likely to affect the economic interest of an entity in which the official, or the official's first or second-degree relative, has an economic interest. The complaint, including the attachments and exhibits thereto, did not reflect that any entity that may have benefited from the Councilmember's alleged conduct was an entity in which the Councilmember, or his first or second-degree relative, had an economic interest. Thus, the complaint did not allege facts that could support a finding that the Councilmember violated Section 2-43(a)(6) of the ERB.</i>
Rejected	June 27, 2014	<i>The complaint alleged that a Councilmember violated Sections 2-41 and 2-44 of the City's Ethics Code by way of his actions concerning the approval of amendments to a zoning request. In essence, the complaint alleged procedural defects in the process pertaining to the approval of amendments to master plans and/or zoning requests. Even if accepted as true, the issues raised were not violations of the City's Ethics Code, and were, therefore, not within the purview of the ERB.</i>
Rejected	June 27, 2014	<i>The complaint did not indicate which particular sections of the Ethics Code allegedly were violated. However, the complaint, in essence, appeared to allege procedural defects in the process pertaining to the approval of amendments to master plans and/or zoning requests. Even if accepted as true, the issues raised were not violations of the City's Ethics Code, and were, therefore, not within the purview of the ERB.</i>

**Attachment B**

<b>Ethics Advisory Opinions – 2014 (City Attorney’s Office)</b>		
Number	Date	Questions
2014-01	January 13, 2014	<i>May a City employee purchase a table at a charity event using city money? Does it matter if his wife serves on the board of directors of the entity hosting the event? May non-city guests attend the event as the employee’s guests?</i>
2014-02	December 16, 2014	<i>May a civilian employee enter into a discretionary contract with the City, performing work dissimilar to that which they provide to the City?</i>

**Attachment C**

**Registered Lobbyists as of November, 2014**

**City of San Antonio Lobbyists' Agents**

**AT&T**

**AT&T**

105 AUDITORIUM CIRCLE, SUITE 107  
SAN ANTONIO TX 78205-  
Telephone: (210) 294-4325  
Nature of Business: TELECOMMUNICATIONS  
Registered: 8/30/2013

PATTI LARSEN  
105 AUDITORIUM CIRCLE, SUITE 107  
SAN ANTONIO TX 78205  
Telephone: 210-294-4325  
Nature of Business: Telecommunications  
Registered: 8/30/2013  
Agent of AT&T

## City of San Antonio Lobbyists' Agents

### BALTAZAR R. SERNA, JR.

#### **BALTAZAR R. SERNA, JR.**

126 VILLITA  
SAN ANTONIO TX 78205-  
Telephone: (210) 225-6700  
Nature of Business: ATTORNEY AT LAW  
Registered: 1/10/1999

BALTAZAR SERNA  
126 VILLITA  
SAN ANTONIO TX 78205  
Telephone: 210-225-6700  
Nature of Business: Attorney  
Registered: 1/10/1999  
Agent of BALTAZAR R SERNA, JR.

## City of San Antonio Lobbyists' Agents

### BRACEWELL & GIULIANI, LLP

#### **BRACEWELL & GIULIANI, LLP**

300 CONVENT STREET, SUITE 1500

SAN ANTONIO TX 78205-

Telephone: (210) 226-1166

Nature of Business: LAW FIRM

Registered: 1/7/2014

BLAKELY FERNANDEZ

300 COVENT STREET, SUITE 1500

SAN ANTONIO TX 78205

Telephone: 210-226-1166

Nature of Business: Law Firm

Registered: 1/7/2014

Agent of BRACEWELL & GIULIANI, LLP

JANE MACON

300 COVENT STREET, SUITE 1500

SAN ANTONIO TX 78205

Telephone: 210-226-1166

Nature of Business: Law Firm

Registered: 1/7/2014

Agent of BRACEWELL & GIULIANI, LLP

## City of San Antonio Lobbyists' Agents

### BREVAN HOWARD US, LLC

#### **BREVAN HOWARD US, LLC**

590 MADISON AVE, 9TH FLOOR

NEW YORK NY 10022-

Telephone: (212) 418-8207

Nature of Business: WEALTH MANAGEMENT AND FINANCIAL SERVICES

Registered: 3/15/2012

ANGY HERRERA

590 MADISON AVE., 9TH FL

NEW YORK NY 10022

Telephone: 212-418-8207

Nature of Business:

Registered: 3/15/2012

Agent of BREVAN HOWARD US, LLC

JUSTIN OPALENSKI

590 MADISON AVE., 9TH FL

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Telephone: 212-418-8207

Nature of Business:

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Agent of BREVAN HOWARD US, LLC

GABRIEL OVANESSIAN

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## City of San Antonio Lobbyists' Agents

### BROWN & ORTIZ, P.C.

#### **BROWN & ORTIZ, P.C.**

112 E. PECAN, SUITE 1360  
SAN ANTONIO TX 78205-  
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Nature of Business: LAW FIRM  
Registered: 11/18/2004

KENNETH BROWN  
112 PECAN, STE. 1360  
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Telephone: (210) 299-3704  
Nature of Business: Agent  
Registered: 2/10/2004  
Agent of BROWN & ORTIZ, P.C.

JAMES GRIFFIN  
112 PECAN, STE. 1360  
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Telephone: (210) 299-3704  
Nature of Business: Agent  
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Agent of BROWN & ORTIZ, P.C.

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112 PECAN, STE. 1360  
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Nature of Business: Agent  
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Agent of BROWN & ORTIZ, P.C.

JAMES MCKNIGHT  
112 PECAN, STE. 1360  
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Telephone: 210-299-3704  
Nature of Business: Agent  
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Agent of BROWN & ORTIZ, P.C.

DANIEL ORTIZ  
112 PECAN, STE. 1360  
SAN ANTONIO TX 78205  
Telephone: (210) 299-3704  
Nature of Business: Agent  
Registered: 11/18/2004  
Agent of BROWN & ORTIZ, P.C.

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**BROWN & ORTIZ, P.C.**

NINA PRADO  
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#### **CASANDRA C. ORTIZ**

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CASANDRA ORTIZ

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Nature of Business: Law Firm

Registered: 8/20/2013

Agent of CASANDRA C. ORTIZ

**City of San Antonio Lobbyists' Agents**

**DAILEY AND WELLS COMMUNICATIONS, INC.**

**DAILEY AND WELLS COMMUNICATIONS, INC.**

3440 E. HOUSTON ST.

SAN ANTONIO TX 78219-

Telephone: (210) 893-6500

Nature of Business: TWO-WAY RADIOS

Registered: 5/22/2013

Haidar Hijazi

3440 E. HOUSTON ST.

SAN ANTONIO TX 78219

Telephone: 2108936500

Nature of Business: Two-way Radios

Registered: 5/21/2013

Agent of DAILEY AND WELLS COMMUNICATIONS, INC.

## City of San Antonio Lobbyists' Agents

### EMSO PARTNERS US LLC

#### **EMSO PARTNERS US LLC**

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Registered: 4/12/2013

DONALD LUCARDI  
680 FIFTH AVE., SUITE 1802  
NEW YORK NY 10019  
Telephone: 212-307-8903  
Nature of Business: Financial Services  
Registered: 4/12/2013  
Agent of EMSO PARTNERS US LLC

## City of San Antonio Lobbyists' Agents

### GOLDEN STEVES COHEN & GORDON, LLP

#### **GOLDEN STEVES COHEN & GORDON, LLP**

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Telephone: (210) 745-3700  
Nature of Business: LAW FIRM  
Registered: 1/19/2006

KARL BAKER  
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SAN ANTONIO TX 78205  
Telephone: 210-745-3700  
Nature of Business:  
Registered: 1/31/2012  
Agent of GOLDEN STEVES COHEN & GORDON, LLP

GRANT JACOBSON  
300 CONVENT SUITE 2600  
SAN ANTONIO TX 78205  
Telephone: 210-745-3700  
Nature of Business:  
Registered: 7/21/2010  
Agent of GOLDEN STEVES COHEN & GORDON, LLP

## City of San Antonio Lobbyists' Agents

### HARRY JEWETT ASSOCIATES

#### **HARRY JEWETT ASSOCIATES**

307 W. RHAPSODY DRIVE

SAN ANTONIO TX 78216-

Telephone: (210) 737-3417

Nature of Business: ENGINEERS, ARCHITECTS AND PLANNERS

Registered: 3/25/2011

Telephone:

Nature of Business:

Registered:

Agent of HARRY JEWETT ASSOCIATES

HARRY JEWETT

307 W. RHAPSODY DRIVE

SAN ANTONIO TX 78216

Telephone: 210-737-3417

Nature of Business: Engineers, Architects and Planners

Registered: 3/25/2011

Agent of HARRY JEWETT ASSOCIATES

## City of San Antonio Lobbyists' Agents

### HNTB CORPORATION

#### **HNTB CORPORATION**

2350 KERNER BLVD., STE. 250

SAN RAFAEL CA 94901-

Telephone: (415) 389-6800

Nature of Business: ARCHITECTURE AND ENGINEERING

Registered: 7/1/2010

TOM O'GRADY

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SAN RAFAEL CA 94901

Telephone: 415-389-6800

Nature of Business: Architecture and Engineering

Registered: 3/4/2010

Agent of HNTB CORPORATION

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SAN RAFAEL CA 94901

Telephone: 415-389-6800

Nature of Business: Architecture and Engineering

Registered: 9/3/2013

Agent of HNTB CORPORATION

THOMAS WENDORF

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Telephone: 415-389-6800

Nature of Business: Architecture and Engineering

Registered: 7/1/2010

Agent of HNTB CORPORATION

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### JOE A. GARCIA

#### **JOE A. GARCIA**

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Telephone: (210) 650-8666

Nature of Business: LOBBYIST

Registered: 7/8/2011

JOE GARCIA

919 CONGRESS AVE., SUITE 1500

AUSTIN TX 78701

Telephone: 512-476-0616

Nature of Business:

Registered: 7/8/2011

Agent of JOE A. GARCIA

## City of San Antonio Lobbyists' Agents

### JOSEPH L. LESSARD

#### **JOSEPH L. LESSARD**

202 WALTON WAY, STE. 192-217

CEDAR PARK TX 78613-

Telephone: (512) 626-3296

Nature of Business: STRATEGIC PROJECT MANAGEMENT/ECONOMIC & URBAN DEVELOPMENT

Registered: 8/5/2014

JOSEPH LESSARD

202 WALTON WAY, STE. 192-217

CEDAR PARK TX 78613

Telephone: 512-626-3296

Nature of Business: Strategic Management/economic & Urban Development

Registered: 8/5/2014

Agent of JOSEPH L. LESSARD

## City of San Antonio Lobbyists' Agents

### KAUFMAN & KILLEN, INC.

#### **KAUFMAN & KILLEN, INC.**

100 W. HOUSTON, SUITE 1250  
SAN ANTONIO TX 78205-  
Telephone: (210) 227-2000  
Nature of Business: LAW FIRM  
Registered: 2/11/1999

ASHLEY FARRIMOND  
KAUFMAN & ASSOCIATES, INC  
100 W. HOUSTON, SUITE 1250  
SAN ANTONIO TX 78205  
Telephone: (210) 227-2000  
Nature of Business: Agent of the Registrant  
Registered: 9/15/2010  
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WILLIAM T. KAUFMAN  
KAUFMAN & ASSOCIATES, INC.  
100 W. HOUSTON, SUITE 1250  
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ROB KILLEN  
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Nature of Business: Agent of the Registrant  
Registered:  
Agent of KAUFMAN & KILLEN, INC.

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KAUFMAN & ASSOCIATES, INC  
100 W. HOUSTON, SUITE 1250  
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Nature of Business: Agent of the Registrant  
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## City of San Antonio Lobbyists' Agents

### LAND USE SOLUTIONS, LLC

#### **LAND USE SOLUTIONS, LLC**

10003 NORTHWEST MILITARY HWY., SUITE 2215

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Nature of Business: CONSULTING FIRM

Registered: 3/6/2014

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Agent of LAND USE SOLUTIONS, LLC

## City of San Antonio Lobbyists' Agents

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#### **MARTIN & DROUGHT, P.C.**

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Nature of Business: LEGAL SERVICES  
Registered: 1/6/2003

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MARTIN & DROUGHT, P.C.  
300 CONVENT, 25TH FLOOR  
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Telephone: (210) 220-1339  
Nature of Business: Agent of the Registrant  
Registered: 1/1/2004  
Agent of MARTIN & DROUGHT, P.C.

RYAN SWEENEY  
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SAN ANTONIO TX 78205  
Telephone: 210-220-1339  
Nature of Business: Agent  
Registered: 1/25/2013  
Agent of MARTIN & DROUGHT, P.C.

## City of San Antonio Lobbyists' Agents

### OUR COMMUNITY INC., LLC DBA OCI GROUP

#### **OUR COMMUNITY INC., LLC DBA OCI GROUP**

118 BROADWAY, SUITE 317  
SAN ANTONIO TX 78205-  
Telephone: (210) 421-4197  
Nature of Business: CONSULTING FIRM  
Registered: 4/10/2014

HECTOR GONZALEZ  
118 BROADWAY, SUITE 317  
SAN ANTONIO TX 78205  
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Nature of Business: Consulting Firm  
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LUIS GONZALEZ  
118 BROADWAY, SUITE 3017  
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Nature of Business: Consulting Firm  
Registered: 4/10/2014  
Agent of OUR COMMUNITY INC., LLC DBA OCI GROUP

## City of San Antonio Lobbyists' Agents

### PAPE-DAWSON ENGINEERS, INC

#### **PAPE-DAWSON ENGINEERS, INC**

555 E. RAMSEY  
SAN ANTONIO TX 78216-  
Telephone: (210) 375-9000  
Nature of Business: CIVIL ENGINEERS, INC  
Registered: 3/31/2009

GENE DAWSON  
555 E. RAMSEY  
SAN ANTONIO TX 78216  
Telephone: 210-375-9000  
Nature of Business:  
Registered: 3/30/2009  
Agent of PAPE-DAWSON ENGINEERS, INC

SAM DAWSON  
555 E. RAMSEY  
SAN ANTONIO TX 78216  
Telephone: 210-375-9000  
Nature of Business:  
Registered: 3/30/2009  
Agent of PAPE-DAWSON ENGINEERS, INC

DENNIS RION  
555 E. RAMSEY  
SAN ANTONIO TX 78216  
Telephone: 210-375-9000  
Nature of Business:  
Registered: 3/30/2009  
Agent of PAPE-DAWSON ENGINEERS, INC

## City of San Antonio Lobbyists' Agents

### PULLMAN CAPPuccio PULLEN & BENSON, LLP

#### **PULLMAN CAPPuccio PULLEN & BENSON, LLP**

2161 NW MILITARY HIGHWAY, SUITE 400

SAN ANTONIO TX 78213-

Telephone: (210) 222-9494

Nature of Business: ATTORNEYS & COUNSELORS

Registered: 1/31/2007

BUCK BENSON

PULMAN, CAPPuccio, PULLEN & BENSON, LLP

2161 NW MILITARY HIGHWAY, SUITE 400

SAN ANTONIO TX 78213

Telephone: 210-222-9494

Nature of Business: Law Firm

Registered: 1/31/2007

Agent of PULLMAN CAPPuccio PULLEN & BENSON, LLP

SHARI PULMAN

PULMAN, CAPPuccio, PULLEN & BENSON, LLP

2161 NW MILITARY HIGHWAY, SUITE 400

SAN ANTONIO TX 78213

Telephone: 210-222-9494

Nature of Business: Law Firm

Registered: 4/3/2013

Agent of PULLMAN CAPPuccio PULLEN & BENSON, LLP

## City of San Antonio Lobbyists' Agents

### PW CHRISTENSEN, PC

#### **PW CHRISTENSEN, PC**

1777 NE LOOP 410, SUITE 600

SAN ANTONIO TX 78217-

Telephone: (210) 320-2540

Nature of Business: LAW FIRM

Registered: 2/11/2011

PATRICK CHRISTENSEN

1777 NE LOOP 410, SUITE 600

SAN ANTONIO TX 78217

Telephone: 210-320-2540

Nature of Business: Law Firm

Registered: 2/11/2011

Agent of PW CHRISTENSEN, PC

## City of San Antonio Lobbyists' Agents

### REDFLEX TRAFFIC SYSTEMS, INC.

#### **REDFLEX TRAFFIC SYSTEMS, INC.**

23751 N. 23RD AVE., SUITE 150

PHOENIX AZ 85085-

Telephone: (623) 207-2000

Nature of Business: PHOTO TRAFFIC ENFORCEMENT

Registered: 3/24/2014

DARREN KOLACK

23751 N. 23RD AVE., SUITE 150

PHOENIX AZ 85085

Telephone: 623-207-2000

Nature of Business: Photo Traffic Systems, Inc

Registered: 3/24/2014

Agent of REDFLEX TRAFFIC SYSTEMS, INC.

CAROLYN WALSH

23751 N. 23RD AVE., SUITE 150

PHOENIX AZ 85085

Telephone: 623-207-2000

Nature of Business: Photo Traffic Systems, Inc

Registered: 3/24/2014

Agent of REDFLEX TRAFFIC SYSTEMS, INC.

## City of San Antonio Lobbyists' Agents

### RENEE FLORES

#### **RENEE FLORES**

26970 RANGLAND VIEW

BOERNE TX 78006-

Telephone: (210) 542-4627

Nature of Business: AT&T SERVICES, INC.

Registered: 3/18/2014

RENEE FLORES

26970 RANGLAND VIEW

BOERNE TX 78006

Telephone: 2105424627

Nature of Business: AT&T Services, Inc.

Registered: 3/18/2014

Agent of RENEE FLORES

## City of San Antonio Lobbyists' Agents

### RUDOLPH F. RODRIGUEZ

#### **RUDOLPH F. RODRIGUEZ**

719 FINALE COURT

SAN ANTONIO TX 78216-

Telephone: (210) 710-0991

Nature of Business: BUSINESS DEVELOPMENT, GOVERNMENT AFFAIRS, PUBLIC RELATIONS

Registered: 11/7/2013

RUDOLPH RODRIGUEZ

719 FINALE COURT

SAN ANTONIO TX 78216

Telephone: 210-410-1919

Nature of Business: Business Development, Government Affairs

Registered: 11/7/2013

Agent of RUDOLPH F. RODRIGUEZ

## City of San Antonio Lobbyists' Agents

### THE FRANCIS LAW FIRM, P.C.

#### **THE FRANCIS LAW FIRM, P.C.**

112 E. PECAN ST. SUITE 550

SAN ANTONIO TX 78205-

Telephone: (210) 222-1100

Nature of Business: LAW FIRM

Registered: 3/26/1999

BEBB FRANCIS

112 E. PECAN ST. SUITE 200

SAN ANTONIO TX 78205

Telephone: (210) 222-1100

Nature of Business:

Registered: 3/26/1999

Agent of THE FRANCIS LAW FIRM, P.C.

## City of San Antonio Lobbyists' Agents

### TOM BAGBY LAW FIRM, PLLC

#### **TOM BAGBY LAW FIRM, PLLC**

2632 BROADWAY, SUITE 401 SOUTH

SAN ANTONIO TX 78215-

Telephone: (210) 225-2000

Nature of Business: LAW FIRM

Registered: 2/25/2014

TOM BAGBY

2632 BROADWAY, SUITE 401 SOUTH

SAN ANTONIO TX 78215

Telephone: 210-225-2000

Nature of Business: Law Firm

Registered: 2/25/2014

Agent of TOM BAGBY LAW FIRM, PLLC

## City of San Antonio Lobbyists' Agents

### WAL-MART STORES, INC

#### **WAL-MART STORES, INC**

702 SW 8TH ST., MS 0350  
BENTONVILLE AR 72716-  
Telephone: (479) 204-8119  
Nature of Business: RETAIL  
Registered: 5/9/2012

KELLIE DUHR  
702 SW 8TH ST.,  
BENTONVILLE AR 72716  
Telephone: 479-204-8119  
Nature of Business: Retail  
Registered: 7/26/2012  
Agent of WAL-MART STORES, INC

DANIEL MORALES  
702 SW 8TH ST.,  
BENTONVILLE AR 72715  
Telephone: 479-201-8618  
Nature of Business: Retail  
Registered: 4/15/2013  
Agent of WAL-MART STORES, INC

## City of San Antonio Lobbyists' Agents

### ZACHRY CORPORATION

#### **ZACHRY CORPORATION**

P. O. BOX 33240  
SAN ANTONIO TX 78265-  
Telephone: (210) 871-3363  
Nature of Business: CONSTRUCTION SERVICES AND PROJECT DEVELOPMENT  
Registered: 4/30/1999

RENE GARCIA  
ZACHRY, INC  
P.O. BOX 240130  
SAN ANTONIO TX 78265  
Telephone: 210-871-3360  
Nature of Business: Agent of the Registrant  
Registered: 1/11/2012  
Agent of ZACHRY CORPORATION

TARA SNOWDEN  
ZACHRY, INC.  
P.O. BOX 240130  
SAN ANTONIO TX 78224-0130  
Telephone: (210) 258-2662  
Nature of Business: Agent of the Registrant  
Registered: 1/15/2009  
Agent of ZACHRY CORPORATION

VICTORIA WADDY  
ZACHRY, INC.  
P.O. BOX 240130  
SAN ANTONIO TX 78224-0130  
Telephone: (210) 258-2662  
Nature of Business: Agent to the Registrant  
Registered: 1/15/2002  
Agent of ZACHRY CORPORATION

DAVID ZACHRY  
ZACHRY, INC  
P.O. BOX 240130  
SAN ANTONIO TX 78265  
Telephone: 210-871-3360  
Nature of Business: Agent of the Registrant  
Registered: 1/11/2012  
Agent of ZACHRY CORPORATION

**Attachment D**

**2014 Ethics & Campaign Finance Training**

<b>Name of Training</b>	<b>Hrs</b>	<b>General Category</b>	<b>Date</b>
ERB Annual Refresher	1.0	Ethics, Campaign Finance	01/07/14
New ERB Member Training	1.0	Ethics, Campaign Finance	01/17/14
Convention & Visitors Bureau Executive Training	1.0	Ethics (Gifts)	02/19/14
Boards and Commissions Liaison Training	3.0	Ethics, Campaign Finance, Open Government	03/03/14
Professional Practice and Leadership Program	4.0	Ethics (Prohibited Interests in Contracts, Campaign Finance)	03/28/14
Joint Commission on Elderly Affairs Annual Refresher	1.0	Ethics, Campaign Finance, Open Government	04/08/14
Board of Adjustment Annual Refresher	1.0	Ethics, Campaign Finance, Open Government	05/05/14
Building Standards Board Annual Refresher	1.0	Ethics, Campaign Finance, Open Government	05/08/14
Transportation & Capital Improvements Manager's Training	3.0	Ethics, Campaign Finance	05/13/14
New Employee Experience II	3.0	Ethics	06/20/14
Library Board Refresher	1.0	Ethics, Open Government	06/25/14
Municipal Leadership Institute	3.0	Ethics, Campaign Finance, Open Government	08/15/14
Mayor's Staff Training	2.0	Ethics, Open Government	08/27/14
New Employee Experience II	3.0	Ethics	09/05/14
Head Start Program Training	1.5	Ethics (Gifts, Conflicts of Interest, Outside Employment)	10/30/14
Board of Adjustment Annual Refresher	1.0	Ethics, Campaign Finance, Open Government	11/03/14
Board of Adjustment New Member Training	1.0	Ethics, Campaign Finance, Open Government	11/03/14
Planning Commission Annual Refresher	0.5	Ethics, Campaign Finance, Open Government	11/07/14
New ERB Member Training	0.5	Ethics, Campaign Finance	11/17/14

City of San Antonio Ethics Review Board Annual Report – 2014

Airport Advisory Commission	1.0	Ethics, Open Government	11/18/14
Municipal Leadership Institute	3.0	Ethics, Campaign Finance, Open Government	12/05/14

**Total: 36.5 hours\* (does not include training time attributable to NEE I)**