City Ordinance 88874, approved on November 19, 1998, created the Ethics Review Board (“ERB” or “Board”), which met for the first time on April 30, 1999. A City Charter election held in May 2004, and the adoption of a City Campaign Finance Regulation ordinance in August 2004, necessitated the modification of the responsibilities and jurisdiction of the ERB. A new ethics ordinance (City Ordinance 100283) was adopted by City Council on January 13, 2005. The Charter amendment formally dissolved the board created by the 1998 ordinance and established an independent board with the ability to deliver binding rulings and impose sanctions and fines. The initial members of this new board were appointed in April 2005. On May 9, 2013, City Council unanimously approved significant changes to the City’s Ethics Code and Municipal Campaign Finance Code (City Ordinance 2013-05-09-0317).

In 2014, the Board met as a whole body twice (January 7, 2014 and November 17, 2014) and as a panel twice (February 11, 2014 and March 7, 2014). In the aforementioned meetings, two complaints were considered resulting in three Determinations rendered by the Board (See Attachment A). Six complaints were received that were not forwarded to the Board (See Attachment A-1). The City Attorney’s Office rendered two formal Advisory Opinions, and the ERB none (See Attachment B). The complete text of each Determination and/or Opinion can be obtained from the Office of the City Clerk. The extracts provided in the attachments to this document are for information purposes only.

The Board is charged with submitting recommended changes to the Ethics Code and Municipal Campaign Finance Code. Due to the comprehensive changes promulgated in City Ordinance 2013-05-09-0317, this report includes no proposed changes. We will continue to review both codes and future proposed changes will be included in future Annual Reports.

A list of registered lobbyists – current as of November, 2014 – has been provided by the City Clerk (see Attachment C).

Ethics/Campaign Finance Training in 2014: the Office of the City Clerk, the Office of the City Attorney, and the Compliance Auditor present training sessions on the City’s Ethics Code, the Municipal Campaign Finance Regulations, and City’s Election Campaign Finance filing system. In March of 2006, the San Antonio City Manager adopted a program of mandatory ethics training for all City employees. As part of this program, refresher training is performed biannually. The Compliance Auditor also conducts ethics training at every New Employee Experience I training session. Additionally, other workshops and presentations on Ethics were conducted by the City Attorney’s Office and Compliance Auditor in 2014 (See Attachment D).
# The Ethics Review Board
## Members – 2014

The following persons were confirmed by the City Council to serve on the Ethics Review Board for the terms indicated.

<table>
<thead>
<tr>
<th>NAME</th>
<th>APPOINTED BY</th>
<th>DATE APPOINTED</th>
<th>DATE TERM EXPIRES</th>
</tr>
</thead>
<tbody>
<tr>
<td>David A. Armendariz</td>
<td>District 1</td>
<td>Dec. 13, 2012</td>
<td>Apr. 25, 2015</td>
</tr>
<tr>
<td>Dolores Lott**</td>
<td>District 2</td>
<td>Apr. 14, 2005</td>
<td>Apr. 25, 2011</td>
</tr>
<tr>
<td>August Steven Johnson**</td>
<td>District 4</td>
<td>Apr. 14, 2005</td>
<td>Apr. 25, 2012</td>
</tr>
<tr>
<td>Magdalena Alvarado</td>
<td>District 5</td>
<td>Oct. 9, 2014</td>
<td>Apr. 25, 2015</td>
</tr>
<tr>
<td>Linda M. Jackson</td>
<td>District 6</td>
<td>Nov. 21, 2013</td>
<td>Apr. 25, 2016</td>
</tr>
<tr>
<td>Burke C. Marold</td>
<td>District 7</td>
<td>Mar. 7, 2013</td>
<td>Apr. 25, 2016</td>
</tr>
<tr>
<td>Charles Saxer**</td>
<td>District 8</td>
<td>Dec. 4, 2008</td>
<td>Apr. 25, 2013</td>
</tr>
<tr>
<td>Sam Millsap**</td>
<td>District 9</td>
<td>Jan. 9, 2014</td>
<td>Apr. 25, 2015</td>
</tr>
<tr>
<td>Robert W. Piatt**</td>
<td>District 10</td>
<td>Mar. 1, 2012</td>
<td>Apr. 25, 2014</td>
</tr>
<tr>
<td>Donald H. Flanary</td>
<td>District 10</td>
<td>Sept. 11, 2014</td>
<td>Apr. 25, 2015</td>
</tr>
</tbody>
</table>

* Resigned during 2014

---

* Chair for portion of 2014  
** Member in Holdover  
** Member in Holdover  
** Member in Holdover  
** Vice-Chair for portion of 2014  
** Chair for portion of 2014. Member was in Holdover
### Ethics Determinations – 2014

<table>
<thead>
<tr>
<th>Number</th>
<th>Date</th>
<th>Complaint &amp; Opinion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Opinion 14-01</td>
<td>February 11, 2014</td>
<td>On February 11, 2014, a panel of the Ethics Review Board convened to consider the ethics complaint filed against several employees of the San Antonio Police Department (SAPD). SAPD employee Frances Barrera filed the complaint on May 15, 2013, alleging that several employees within the SAPD were in the line of supervision of relatives, used their position to unfairly advance the interests of family members, and were directly involved in the hiring of relatives, in violation of Section 2-44 of the City of San Antonio Ethics Code. The complaint also alleged that several employees within the SAPD misappropriated City resources in violation of Section 2-49 of the Ethics Code. The complaint had been deferred on June 11, 2013, by a panel of the Board, pending investigation by the SAPD, Human Resources Department, and the City’s Office of Municipal Integrity. The Panel received a briefing from staff regarding the final reports of the investigations conducted by the City regarding these allegations. The Panel unanimously voted to <strong>DISMISS</strong> the complaints in full due to 1) a lack of evidence sufficient to substantiate a finding of a violation of the Ethics Code as it pertains to nepotism, and 2) resolution of the actions giving rise to the allegations pertaining to misappropriation of City resources.</td>
</tr>
<tr>
<td>Opinion 14-02</td>
<td>February 11, 2014</td>
<td>On February 11, 2014, a panel of the Ethics Review Board convened to consider the ethics complaint filed against an employee of the San Antonio Municipal Airport HVAC Department. Bruce White filed the complaint on June 28, 2013, alleging that the employee used his position to advance unfairly the interests of a tenant at the Airport, in violation of Section 2-44 of the City of San Antonio Ethics Code. The complaint had been deferred on July 10, 2013, by a panel of the Board, pending investigation by the SAPD, Human Resources Department, and the City’s Office of Municipal Integrity. The Panel received a briefing from staff regarding the final reports of the investigations conducted by the City regarding these allegations. After considering the complaint and the results of the investigations, the Panel unanimously voted to <strong>DISMISS</strong> the complaint in full due to 1) a lack of evidence sufficient to substantiate a finding of a violation of the Ethics Code, and 2) resolution of the actions giving rise to the allegations in the complaint.</td>
</tr>
<tr>
<td>Opinion 14-03</td>
<td>March 7, 2014</td>
<td>On March 7, 2014, a panel of the Ethics Review Board convened at Frances Barrera’s request to reconsider the dismissal of the ethics complaint filed against several employees of the SAPD. See Opinion 14-01. Upon review of Ms. Barrera’s written request for reconsideration, the Panel determined that no additional evidence substantiating the complaint had been provided by the complainant. Therefore, the Panel upheld its decision rendered in Opinion 14-01, and the complaint filed May 15, 2013 remained <strong>DISMISSED</strong>.</td>
</tr>
</tbody>
</table>
### Complaints Filed – 2014

<table>
<thead>
<tr>
<th>Status</th>
<th>Date Filed</th>
<th>Summary</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rejected</td>
<td>March 7, 2014</td>
<td>The complaint alleged that misconduct on the part of an SAPD detective violated the complainant’s rights under the U.S. Constitution. These allegations did not constitute a violation of the Ethics Code or any other law administered or enforced by the ERB.</td>
</tr>
<tr>
<td>Rejected</td>
<td>April 8, 2014</td>
<td>The complaint alleged that by voting to approve an increase in the rates charged by the San Antonio Water System on November 21, 2013, a Councilmember violated §2-43 of the Ethics Code. An investigation revealed the following: 1) that the Councilmember no longer served as President of the relevant Water Supply Corporation and received no compensation from the Water Supply Corporation; and 2) that both SAWS and the Water Supply Corporation had certificates of convenience and necessity issued by the state, and neither may have encroached upon the other’s authorized distribution areas without consent of the other or of the state. Thus, the vote to raise SAWS’ rates did not provide a competitive advantage to the Water Supply Corporation, and the Councilmember’s vote could not have likely affected the economic interest of the parties identified in Section 2-43(a) of the Ethics Code.</td>
</tr>
<tr>
<td>Rejected</td>
<td>June 24, 2014</td>
<td>The complaint alleged that employees of the Development Services Department and City Attorney’s Office violated Sections 2-41, 2-44(a), and 2-72 by way of their noncompliance with sections of the San Antonio Unified Development Code and Texas Local Government Code. In essence, the complaint alleged procedural defects in the plat approval process. Thus, the issues raised in the complaint were not within the purview of the ERB.</td>
</tr>
<tr>
<td>Rejected</td>
<td>June 19, 2014</td>
<td>The complaint alleged that a Councilmember violated Section 2-43(a)(6) of the City’s Ethics Code by instructing the Zoning Commission to approve a request for rezoning. Section 2-43(a)(6) of the Ethics Code prohibits a City official from taking any official action that he or she knows is likely to affect the economic interest of an entity in which the official, or the official’s first or second-degree relative, has an economic interest. The complaint, including the attachments and exhibits thereto, did not reflect that any entity that may have benefited from the Councilmember’s alleged conduct was an entity in which the Councilmember, or his first or second-degree relative, had an economic interest. Thus, the complaint did not allege facts that could support a finding that the Councilmember violated Section 2-43(a)(6) of the ERB.</td>
</tr>
<tr>
<td>Rejected</td>
<td>June 27, 2014</td>
<td>The complaint alleged that a Councilmember violated Sections 2-41 and 2-44 of the City’s Ethics Code by way of his actions concerning the approval of amendments to a zoning request. In essence, the complaint alleged procedural defects in the process pertaining to the approval of amendments to master plans and/or zoning requests. Even if accepted as true, the issues raised were not violations of the City’s Ethics Code, and were, therefore, not within the purview of the ERB.</td>
</tr>
<tr>
<td>Rejected</td>
<td>June 27, 2014</td>
<td>The complaint did not indicate which particular sections of the Ethics Code allegedly were violated. However, the complaint, in essence, appeared to allege procedural defects in the process pertaining to the approval of amendments to master plans and/or zoning requests. Even if accepted as true, the issues raised were not violations of the City’s Ethics Code, and were, therefore, not within the purview of the ERB.</td>
</tr>
</tbody>
</table>
## Ethics Advisory Opinions – 2014 (City Attorney’s Office)

<table>
<thead>
<tr>
<th>Number</th>
<th>Date</th>
<th>Questions</th>
</tr>
</thead>
<tbody>
<tr>
<td>2014-01</td>
<td>January 13, 2014</td>
<td>May a City employee purchase a table at a charity event using city money? Does it matter if his wife serves on the board of directors of the entity hosting the event? May non-city guests attend the event as the employee’s guests?</td>
</tr>
<tr>
<td>2014-02</td>
<td>December 16, 2014</td>
<td>May a civilian employee enter into a discretionary contract with the City, performing work dissimilar to that which they provide to the City?</td>
</tr>
</tbody>
</table>
Registered Lobbyists as of November, 2014

City of San Antonio Lobbyists’ Agents

AT&T
105 AUDITORIUM CIRCLE, SUITE 107
SAN ANTONIO TX 78205-
Telephone: (210) 294-4325
Nature of Business: TELECOMMUNICATIONS
Registered: 8/30/2013

PATTI LARSEN
105 AUDITORIUM CIRCLE, SUITE 107
SAN ANTONIO TX 78205
Telephone: 210-294-4325
Nature of Business: Telecommunications
Registered: 8/30/2013
Agent of AT&T
City of San Antonio Ethics Review Board

City of San Antonio Lobbyists' Agents

BALTAZAR R. SERNA, JR.
126 VILLITA
SAN ANTONIO TX 78205-
Telephone: (210) 225-6700
Nature of Business: ATTORNEY AT LAW
Registered: 1/10/1999

BALTAZAR SERNA
126 VILLITA
SAN ANTONIO TX 78205
Telephone: 210-225-6700
Nature of Business: Attorney
Registered: 1/10/1999
Agent of BALTAZAR R SERNA, JR.
City of San Antonio Lobbyists' Agents

BRACEWELL & GIULIANI, LLP
300 CONVENT STREET, SUITE 1500
SAN ANTONIO TX 78205-
Telephone: (210) 226-1166
Nature of Business: LAW FIRM
Registered: 1/7/2014

BLAKELY FERNANDEZ
300 COVENT STREET, SUITE 1500
SAN ANTONIO TX 78205
Telephone: 210-226-1166
Nature of Business: Law Firm
Registered: 1/7/2014
Agent of BRACEWELL & GIULIANI, LLP

JANE MACON
300 COVENT STREET, SUITE 1500
SAN ANTONIO TX 78205
Telephone: 210-226-1166
Nature of Business: Law Firm
Registered: 1/7/2014
Agent of BRACEWELL & GIULIANI, LLP
City of San Antonio Lobbyists' Agents

BREVAN HOWARD US, LLC
590 MADISON AVE, 9TH FLOOR
NEW YORK NY 10022
Telephone: (212) 418-8207
Nature of Business: WEALTH MANAGEMENT AND FINANCIAL SERVICES
Registered: 3/15/2012
ANGY HERRERA
590 MADISON AVE., 9TH FL
NEW YORK NY 10022
Telephone: 212-418-8207
Nature of Business:
Registered: 3/15/2012
Agent of BREVAN HOWARD US, LLC

JUSTIN OPALENSKI
590 MADISON AVE., 9TH FL
NEW YORK NY 10022
Telephone: 212-418-8207
Nature of Business:
Registered: 3/15/2012
Agent of BREVAN HOWARD US, LLC

GABRIEL OVANESSIAN
590 MADISON AVE., 9TH FL
NEW YORK NY 10022
Telephone: 212-418-8207
Nature of Business:
Registered: 3/15/2012
Agent of BREVAN HOWARD US, LLC
City of San Antonio Lobbyists’ Agents

BROWN & ORTIZ, P.C.
112 E. PECAN, SUITE 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: LAW FIRM
Registered: 11/18/2004
KENNETH BROWN
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 2/10/2004
Agent of BROWN & ORTIZ, P.C.

JAMES GRIFFIN
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 1/16/2008
Agent of BROWN & ORTIZ, P.C.

PAUL JUAREZ
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 1/17/2006
Agent of BROWN & ORTIZ, P.C.

JAMES MCKNIGHT
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: 210-299-3704
Nature of Business: Agent
Registered: 1/9/2012
Agent of BROWN & ORTIZ, P.C.

DANIEL ORTIZ
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: (210) 299-3704
Nature of Business: Agent
Registered: 11/18/2004
Agent of BROWN & ORTIZ, P.C.
City of San Antonio Lobbyists' Agents

BROWN & ORTIZ, P.C.

NINA PRADO
112 PECAN, STE. 1360
SAN ANTONIO TX 78205
Telephone: 210-299-3704
Nature of Business: Agent
Registered: 3/27/2013
Agent of BROWN & ORTIZ, P.C.
City of San Antonio Lobbyists' Agents

CASANDRA C. ORTIZ
600 NAVARRO STREET, SUITE 500
SAN ANTONIO TX 78205-
Telephone: (210) 349-0515
Nature of Business: LAW FIRM
Registered: 8/27/2013

CASANDRA ORTIZ
600 NAVARRO STREET, SUITE 500
SAN ANTONIO TX 78205
Telephone: 210-349-0515
Nature of Business: Law Firm
Registered: 8/20/2013
Agent of CASANDRA C. ORTIZ
City of San Antonio Lobbyists' Agents

DAILEY AND WELLS COMMUNICATIONS, INC.
3440 E. HOUSTON ST.
SAN ANTONIO TX 78219-
Telephone: (210) 893-6500
Nature of Business: TWO-WAY RADIOS
Registered: 5/22/2013

HAIDAR HIJAZI
3440 E. HOUSTON ST.
SAN ANTONIO TX 78219
Telephone: 2108936500
Nature of Business: Two-way Radios
Registered: 5/21/2013
Agent of DAILEY AND WELLS COMMUNICATIONS, INC.
City of San Antonio Lobbyists' Agents

EMSO PARTNERS US LLC
680 FIFTH AVE., SUITE 1802
NEW YORK NY 10019-
Telephone: (212) 307-8903
Nature of Business: FINANCIAL SERVICES
Registered: 4/12/2013

DONALD LUCARDI
680 FIFTH AVE., SUITE 1802
NEW YORK NY 10019
Telephone: 212-307-8903
Nature of Business: Financial Services
Registered: 4/12/2013
Agent of EMSO PARTNERS US LLC
City of San Antonio Lobbyists' Agents

GOLDEN STEVES COHEN & GORDON, LLP
300 CONVENT STREET
SAN ANTONIO TX 78205-
Telephone: (210) 745-3700
Nature of Business: LAW FIRM
Registered: 1/19/2006

KARL BAKER
300 CONVENT SUITE 2600
SAN ANTONIO TX 78205
Telephone: 210-745-3700
Nature of Business:
Registered: 1/31/2012
Agent of GOLDEN STEVES COHEN & GORDON, LLP

GRANT JACOBSON
300 CONVENT SUITE 2600
SAN ANTONIO TX 78205
Telephone: 210-745-3700
Nature of Business:
Registered: 7/21/2010
Agent of GOLDEN STEVES COHEN & GORDON, LLP
City of San Antonio Lobbyists' Agents

HARRY JEWETT ASSOCIATES
307 W. RHAPSODY DRIVE
SAN ANTONIO TX 78216
Telephone: (210) 737-3417
Nature of Business: ENGINEERS, ARCHITECTS AND PLANNERS
Registered: 3/25/2011

HARRY JEWETT
307 W. RHAPSODY DRIVE
SAN ANTONIO TX 78216
Telephone: 210-737-3417
Nature of Business: Engineers, Architects and Planners
Registered: 3/25/2011
Agent of HARRY JEWETT ASSOCIATES
City of San Antonio Lobbyists' Agents

HNTB CORPORATION
2350 KERNER BLVD., STE. 250
SAN RAFAEL CA 94901-
Telephone: (415) 389-6800
Nature of Business: ARCHITECTURE AND ENGINEERING
Registered: 7/1/2010
  TOM O'GRADY
  2350 KERNER BLVD., STE. 250
  SAN RAFAEL CA 94901
  Telephone: 415-389-6800
  Nature of Business: Architecture and Engineering
  Registered: 3/4/2010
  Agent of HNTB CORPORATION

  JEFF SCHEICK
  2350 KERNER BLVD., STE. 250
  SAN RAFAEL CA 94901
  Telephone: 415-389-6800
  Nature of Business: Architecture and Engineering
  Registered: 9/3/2013
  Agent of HNTB CORPORATION

  THOMAS WENDORF
  2350 KERNER BLVD., STE. 250
  SAN RAFAEL CA 94901
  Telephone: 415-389-6800
  Nature of Business: Architecture and Engineering
  Registered: 7/1/2010
  Agent of HNTB CORPORATION
City of San Antonio Lobbyists' Agents

JOE A. GARCIA
919 CONGRESS AVE., SUITE 1500
AUSTIN TX 78233-
Telephone: (210) 650-8666
Nature of Business: LOBBYIST
Registered: 7/8/2011

JOE GARCIA
919 CONGRESS AVE., SUITE 1500
AUSTIN TX 78701
Telephone: 512-476-0616
Nature of Business:
Registered: 7/8/2011
Agent of JOE A. GARCIA
City of San Antonio Lobbyists’ Agents

JOSEPH L. LESSARD
202 WALTON WAY, STE. 192-217
CEDAR PARK TX 78613-
Telephone: (512) 626-3296
Nature of Business: STRATEGIC PROJECT MANAGEMENT/ECONOMIC & URBAN DEVELOPMENT
Registered: 8/5/2014

JOSEPH LESSARD
202 WALTON WAY, STE. 192-217
CEDAR PARK TX 78613
Telephone: 512-626-3296
Nature of Business: Strategic Management/economic & Urban Development
Registered: 8/5/2014
Agent of JOSEPH L. LESSARD
City of San Antonio Lobbyists' Agents

KAUFMAN & KILLEN, INC.
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205-
Telephone: (210) 227-2000
Nature of Business: LAW FIRM
Registered: 2/11/1999

ASHLEY FARRIMOND
KAUFMAN & ASSOCIATES, INC
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205
Telephone: (210) 227-2000
Nature of Business: Agent of the Registrant
Registered: 9/15/2010
Agent of KAUFMAN & KILLEN, INC.

WILLIAM T. KAUFMAN
KAUFMAN & ASSOCIATES, INC.
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205-
Telephone: (210) 227-2000
Nature of Business: Agent of the Registrant
Registered: 2/2/2004
Agent of KAUFMAN & KILLEN, INC.

ROB KILLEN
KAUFMAN & ASSOCIATES, INC.
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205
Telephone: (210) 227-2000
Nature of Business: Agent of the Registrant
Registered: 2/18/2013
Agent of KAUFMAN & KILLEN, INC.

MITSUKO RAMOS
KAUFMAN & ASSOCIATES, INC
100 W. HOUSTON, SUITE 1250
SAN ANTONIO TX 78205
Telephone: 210-227-2000
Nature of Business: Agent of the Registrant
Registered: 2/18/2013
Agent of KAUFMAN & KILLEN, INC.
City of San Antonio Lobbyists' Agents

LAND USE SOLUTIONS, LLC
10003 NORTHWEST MILITARY HWY., SUITE 2215
SAN ANTONIO TX 78231-
Telephone: (210) 812-2222
Nature of Business: CONSULTING FIRM
Registered: 3/6/2014

MICHELE HAUSSMANN
10003 NORTHWEST MILITARY
SAN ANTONIO TX 78231
Telephone: 210-812-2222
Nature of Business: Consulting Firm
Registered: 2/6/2014
Agent of LAND USE SOLUTIONS, LLC
City of San Antonio Lobbyists' Agents

MARTIN & DROUGHT, P.C.
300 CONVENT ST., #2500
SAN ANTONIO TX 78205-
Telephone: (210) 220-1339
Nature of Business: LEGAL SERVICES
Registered: 1/6/2003
FRANK BURNEY

MARTIN & DROUGHT, P.C.
300 CONVENT, 25TH FLOOR
SAN ANTONIO TX 78205-
Telephone: (210) 220-1339
Nature of Business: Agent of the Registrant
Registered: 1/1/2004
Agent of MARTIN & DROUGHT, P.C.

RYAN SWEENEY

MARTIN & DROUGHT, P.C.
300 CONVENT, 25TH FLOOR
SAN ANTONIO TX 78205
Telephone: 210-220-1339
Nature of Business: Agent
Registered: 1/25/2013
Agent of MARTIN & DROUGHT, P.C.
City of San Antonio Lobbyists' Agents

OUR COMMUNITY INC., LLC DBA OCI GROUP
118 BROADWAY, SUITE 317
SAN ANTONIO TX 78205
Telephone: (210) 421-4197
Nature of Business: CONSULTING FIRM
Registered: 4/10/2014
HECTOR GONZALEZ
118 BROADWAY, SUITE 317
SAN ANTONIO TX 78205
Telephone: 210-421-4197
Nature of Business: Consulting Firm
Registered: 4/10/2014
Agent of OUR COMMUNITY INC., LLC DBA OCI GROUP

LUIS GONZALEZ
118 BROADWAY, SUITE 3017
SAN ANTONIO TX 78205
Telephone: 210-421-4197
Nature of Business: Consulting Firm
Registered: 4/10/2014
Agent of OUR COMMUNITY INC., LLC DBA OCI GROUP
City of San Antonio Lobbyists' Agents

PAPE-DAWSON ENGINEERS, INC

555 E. RAMSEY
SAN ANTONIO TX 78216-
Telephone: (210) 375-9000
Nature of Business: CIVIL ENGINEERS, INC
Registered: 3/31/2009

GENE DAWSON
555 E. RAMSEY
SAN ANTONIO TX 78216-
Telephone: 210-375-9000
Nature of Business:
Registered: 3/30/2009
Agent of PAPE-DAWSON ENGINEERS, INC

SAM DAWSON
555 E. RAMSEY
SAN ANTONIO TX 78216-
Telephone: 210-375-9000
Nature of Business:
Registered: 3/30/2009
Agent of PAPE-DAWSON ENGINEERS, INC

DENNIS RION
555 E. RAMSEY
SAN ANTONIO TX 78216-
Telephone: 210-375-9000
Nature of Business:
Registered: 3/30/2009
Agent of PAPE-DAWSON ENGINEERS, INC
City of San Antonio Lobbyists' Agents

PULLMAN CAPPUCCIO PULLEN & BENSON, LLP
2161 NW MILITARY HIGHWAY, SUITE 400
SAN ANTONIO TX 78213
Telephone: (210) 222-9494
Nature of Business: ATTORNEYS & COUNSELORS
Registered: 1/31/2007

BUCK BENSON
PULLMAN, CAPPUCCIO, PULLEN & BENSON, LLP
2161 NW MILITARY HIGHWAY, SUITE 400
SAN ANTONIO TX 78213
Telephone: 210-222-9494
Nature of Business: Law Firm
Registered: 1/31/2007
Agent of PULLMAN CAPPUCCIO PULLEN & BENSON, LLP

SHARI PULMAN
PULMAN, CAPPUCCIO, PULLEN & BENSON, LLP
2161 NW MILITARY HIGHWAY, SUITE 400
SAN ANTONIO TX 78213
Telephone: 210-222-9494
Nature of Business: Law Firm
Registered: 4/3/2013
Agent of PULLMAN CAPPUCCIO PULLEN & BENSON, LLP
City of San Antonio Lobbyists' Agents

PW CHRISTENSEN, PC
1777 NE LOOP 410, SUITE 600
SAN ANTONIO TX 78217-
Telephone: (210) 320-2540
Nature of Business: LAW FIRM
Registered: 2/11/2011

PATRICK CHRISTENSEN
1777 NE LOOP 410, SUITE 600
SAN ANTONIO TX 78217
Telephone: 210-320-2540
Nature of Business: Law Firm
Registered: 2/11/2011
Agent of PW CHRISTENSEN, PC
City of San Antonio Lobbyists' Agents

REDFLEX TRAFFIC SYSTEMS, INC.

REDFLEX TRAFFIC SYSTEMS, INC.
23751 N. 23RD AVE., SUITE 150
PHOENIX AZ 85085
Telephone: (623) 207-2000
Nature of Business: PHOTO TRAFFIC ENFORCEMENT
Registered: 3/24/2014
DARREN KOLACK
23751 N. 23RD AVE., SUITE 150
PHOENIX AZ 85085
Telephone: 623-207-2000
Nature of Business: Photo Traffic Systems, Inc
Registered: 3/24/2014
Agent of REDFLEX TRAFFIC SYSTEMS, INC.

CAROLYN WALSH
23751 N. 23RD AVE., SUITE 150
PHOENIX AZ 85085
Telephone: 623-207-2000
Nature of Business: Photo Traffic Systems, Inc
Registered: 3/24/2014
Agent of REDFLEX TRAFFIC SYSTEMS, INC.
City of San Antonio Lobbyists' Agents

RENEE FLORES
26970 RANCHLAND VIEW
BOERNE TX 78006-
Telephone: (210) 542-4627
Nature of Business: AT&T SERVICES, INC.
Registered: 3/18/2014

RENEE FLORES
26970 RANCHLAND VIEW
BOERNE TX 78006
Telephone: 2105424627
Nature of Business: AT&T Services, Inc.
Registered: 3/18/2014
Agent of RENEE FLORES
City of San Antonio Lobbyists' Agents

RUDOLPH F. RODRIGUEZ
719 FINALE COURT
SAN ANTONIO TX 78216-
Telephone: (210) 710-0991
Nature of Business: BUSINESS DEVELOPMENT, GOVERNMENT AFFAIRS, PUBLIC RELATIONS
Registered: 11/7/2013

RUDOLPH RODRIGUEZ
719 FINALE COURT
SAN ANTONIO TX 78216
Telephone: 210-410-1919
Nature of Business: Business Development, Government Affairs
Registered: 11/7/2013
Agent of RUDOLPH F. RODRIGUEZ
City of San Antonio Lobbyists' Agents

THE FRANCIS LAW FIRM, P.C.
112 E. PECAN ST. SUITE 550
SAN ANTONIO TX 78205-
Telephone: (210) 222-1100
Nature of Business: LAW FIRM
Registered: 3/26/1999

BEBB FRANCIS
112 E. PECAN ST. SUITE 200
SAN ANTONIO TX 78205
Telephone: (210) 222-1100
Nature of Business:
Registered: 3/26/1999
Agent of THE FRANCIS LAW FIRM, P.C.
City of San Antonio Lobbyists' Agents

TOM BAGBY LAW FIRM, PLLC
2632 BROADWAY, SUITE 401 SOUTH
SAN ANTONIO TX 78215-
Telephone: (210) 225-2000
Nature of Business: LAW FIRM
Registered: 2/25/2014

TOM BAGBY
2632 BROADWAY, SUITE 401 SOUTH
SAN ANTONIO TX 78215
Telephone: 210-225-2000
Nature of Business: Law Firm
Registered: 2/25/2014
Agent of TOM BAGBY LAW FIRM, PLLC
City of San Antonio Lobbyists' Agents

WAL-MART STORES, INC
702 SW 8TH ST., MS 0350
BENTONVILLE AR 72716
Telephone: (479) 204-8119
Nature of Business: RETAIL
Registered: 5/9/2012

KELLIE DUHR
702 SW 8TH ST.,
BENTONVILLE AR 72716
Telephone: 479-204-8119
Nature of Business: Retail
Registered: 7/26/2012
Agent of WAL-MART STORES, INC

DANIEL MORALES
702 SW 8TH ST.,
BENTONVILLE AR 72715
Telephone: 479-201-8618
Nature of Business: Retail
Registered: 4/15/2013
Agent of WAL-MART STORES, INC
City of San Antonio Lobbyists' Agents

ZACHRY CORPORATION
P. O. BOX 33240
SAN ANTONIO TX 78265-
Telephone: (210) 871-3363
Nature of Business: CONSTRUCTION SERVICES AND PROJECT DEVELOPMENT
Registered: 4/30/1999

RENE GARCIA
ZACHRY, INC
P.O. BOX 240130
SAN ANTONIO TX 78265
Telephone: 210-871-3360
Nature of Business: Agent of the Registrant
Registered: 1/11/2012
Agent of ZACHRY CORPORATION

TARA SNOWDEN
ZACHRY, INC.
P.O. BOX 240130
SAN ANTONIO TX 78224-0130
Telephone: (210) 258-2662
Nature of Business: Agent of the Registrant
Registered: 1/15/2009
Agent of ZACHRY CORPORATION

VICTORIA WADDY
ZACHRY, INC.
P.O. BOX 240130
SAN ANTONIO TX 78224-0130
Telephone: (210) 258-2662
Nature of Business: Agent to the Registrant
Registered: 1/15/2002
Agent of ZACHRY CORPORATION

DAVID ZACHRY
ZACHRY, INC
P.O. BOX 240130
SAN ANTONIO TX 78265
Telephone: 210-871-3360
Nature of Business: Agent of the Registrant
Registered: 1/11/2012
Agent of ZACHRY CORPORATION
### 2014 Ethics & Campaign Finance Training

<table>
<thead>
<tr>
<th>Name of Training</th>
<th>Hrs</th>
<th>General Category</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>ERB Annual Refresher</td>
<td>1.0</td>
<td>Ethics, Campaign Finance</td>
<td>01/07/14</td>
</tr>
<tr>
<td>New ERB Member Training</td>
<td>1.0</td>
<td>Ethics, Campaign Finance</td>
<td>01/17/14</td>
</tr>
<tr>
<td>Convention &amp; Visitors Bureau Executive Training</td>
<td>1.0</td>
<td>Ethics (Gifts)</td>
<td>02/19/14</td>
</tr>
<tr>
<td>Boards and Commissions Liaison Training</td>
<td>3.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>03/03/14</td>
</tr>
<tr>
<td>Professional Practice and Leadership Program</td>
<td>4.0</td>
<td>Ethics (Prohibited Interests in Contracts, Campaign Finance)</td>
<td>03/28/14</td>
</tr>
<tr>
<td>Joint Commission on Elderly Affairs Annual Refresher</td>
<td>1.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>04/08/14</td>
</tr>
<tr>
<td>Board of Adjustment Annual Refresher</td>
<td>1.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>05/05/14</td>
</tr>
<tr>
<td>Building Standards Board Annual Refresher</td>
<td>1.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>05/08/14</td>
</tr>
<tr>
<td>Transportation &amp; Capital Improvements Manager’s Training</td>
<td>3.0</td>
<td>Ethics, Campaign Finance</td>
<td>05/13/14</td>
</tr>
<tr>
<td>New Employee Experience II</td>
<td>3.0</td>
<td>Ethics</td>
<td>06/20/14</td>
</tr>
<tr>
<td>Library Board Refresher</td>
<td>1.0</td>
<td>Ethics, Open Government</td>
<td>06/25/14</td>
</tr>
<tr>
<td>Municipal Leadership Institute</td>
<td>3.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>08/15/14</td>
</tr>
<tr>
<td>Mayor’s Staff Training</td>
<td>2.0</td>
<td>Ethics, Open Government</td>
<td>08/27/14</td>
</tr>
<tr>
<td>New Employee Experience II</td>
<td>3.0</td>
<td>Ethics</td>
<td>09/05/14</td>
</tr>
<tr>
<td>Head Start Program Training</td>
<td>1.5</td>
<td>Ethics (Gifts, Conflicts of Interest, Outside Employment)</td>
<td>10/30/14</td>
</tr>
<tr>
<td>Board of Adjustment Annual Refresher</td>
<td>1.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>11/03/14</td>
</tr>
<tr>
<td>Board of Adjustment New Member Training</td>
<td>1.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>11/03/14</td>
</tr>
<tr>
<td>Planning Commission Annual Refresher</td>
<td>0.5</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>11/07/14</td>
</tr>
<tr>
<td>New ERB Member Training</td>
<td>0.5</td>
<td>Ethics, Campaign Finance</td>
<td>11/17/14</td>
</tr>
<tr>
<td>Event</td>
<td>Hours</td>
<td>Topic(s)</td>
<td>Date</td>
</tr>
<tr>
<td>-----------------------------------------</td>
<td>-------</td>
<td>---------------------------------------</td>
<td>----------</td>
</tr>
<tr>
<td>Airport Advisory Commission</td>
<td>1.0</td>
<td>Ethics, Open Government</td>
<td>11/18/14</td>
</tr>
<tr>
<td>Municipal Leadership Institute</td>
<td>3.0</td>
<td>Ethics, Campaign Finance, Open Government</td>
<td>12/05/14</td>
</tr>
</tbody>
</table>

Total: **36.5** hours* (does not include training time attributable to NEE I)